

UNIVERSITY OF MASSACHUSETTS

AMHERST•BOSTON•DARTMOUTH•LOWELL•WORCESTER

**MINUTES OF THE MEETING OF THE
BOARD OF TRUSTEES**

Wednesday, December 12, 2012; 9:00 a.m.

Massachusetts Room, Mullins Center

200 Commonwealth Avenue

University of Massachusetts

Amherst, Massachusetts

Trustees Present: Chair Thomas; Vice Chair King-Shaw; Trustees Campbell, Carpman, Collins, Furman, Geoffroy, Gomez, Healy, Johnston, Karam, Lee, Marvel, Mullan, Osterhaus-Houle, Peters, Quattrochi, Reville, Woolridge and Xifaras

Trustees Absent: Trustee Encarnacion

University Administration: President Caret; General Counsel Heatwole; Chancellors Subbaswamy, Motley, Grossman, Meehan, and Collins

Faculty Representatives: Professors Adrion and May, UMass Amherst; Professor Tirrell, UMass Boston

Chair Thomas convened the meeting at 9:08 a.m. and stated that the Board had been provided with a copy of the minutes from the September 19, 2012 meeting, the September 19, 2012 Executive Session, and the October 16, 2012 meeting. With no corrections, the minutes were approved.

Chair Thomas stated that the Board must be given 24 hour notice of requests to speak, but the Chair has discretion and that he will allow a representative from the group of campus students, faculty and staff who are present today to address the Board and the audience. He added that the bylaws state that it should be orderly and limited to 3 minutes.

A fifth year Labor Studies student, Ben, addressed the Board about the group's concerns that the University remain affordable and the need for support for the 50/50 plan. Additionally, he addressed concerns about worker safety, health and wages. Finally, he added his support for more voting student Trustees.

Chair Thomas thanked the student for his passion and commitment and said that he looked forward to future discussions.

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Reports:

Chair's Report:

Chair Thomas noted the location of the meeting at the University's flagship campus, which would be celebrating its 150th anniversary in 2013. He spoke about the Land Grant bill and the University's growth from four faculty members and 56 students, to a major research university and the flagship of the five-campus UMass system with 71,000 students and more than 250,000 graduates living and working in Massachusetts. He also noted the important impact the Amherst campus has on the communities of the region.

Chair Thomas reported on the progress being made on the University's Efficiency and Effectiveness programs. The estimated savings will be in the millions of dollars from various sources, like improvements in purchasing practices to partnering in projects like the Green High Performance Computing Center. Chair Thomas stated that when the University's bottom line is improved, the bottom line for students and their families is improved.

Chair Thomas congratulated President Caret on the unveiling of the accountability project. He also reported that he has been able to spend time with all Board members, President Caret and the members of his leadership team, and with the Chancellors. Chair Thomas noted that the University has some of the most talented and dedicated people working on its behalf. The University is a beacon of opportunity for students and, 150 years later, continues to be with our combined commitment and efforts.

Secretary Reville's Report:

Secretary Reville reported on the Governor's recent call for Section 9C cuts, which would have a modest impact on the University both this year and next year. He also reported on positive K-12 STEM results, the Teacher Preparation Task Force, and the implementation of community college reforms.

President's Report:

President Caret thanked Chair Thomas and noted that it was the first Board of Trustees meeting on the flagship campus since Chancellor Subbaswamy took the helm. He also thanked the student speaker and stated that the University was aggressively pursuing the 50/50 plan.

President Caret shared highlights of impressive activities on the Amherst campus including major initiatives planned in celebration of the 150th anniversary; the UMass Minuteman Marching Band's invitation to the Macy's Thanksgiving Day Parade; the construction boom on campus; the Salute to Service event in November; the rising numbers of applications; and a number of faculty awards and grants.

President Caret also described recent achievements across the System including the WiPro partnership at UMass Boston; UMass Dartmouth's College of Engineering being honored as a

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Top Public Regional University; UMass Lowell's \$2.2 million NSF grant on climate change; and the Medical School's LCME full 8-year accreditation.

President Caret reported on the University's research enterprise and described recent infrastructure investment projects that will help support and grow the University's R&D at Dartmouth, Lowell and Worcester.

President Caret described his efforts to tell and sell the UMass story, through the bus tour, chamber events, and outreach to the business community. President Caret then described the new accountability report, *UMass Performance: Accountable and On the Move*.

President Caret reported on progress on the development of a system-wide marketing plan. The firm, Boathouse, has conducted one-on-one interviews as well as polled registered voters, parents, students, alumni and opinion leaders. A final report is expected in the coming weeks.

President Caret discussed sequestration and its potential effect on the University, including impacts on R&D funding and potentially student financial aid.

Chair Thomas stated that he expected that a deal would be made in the best interests of the country. He then noted W.E.B. DuBois' legacy at UMass Amherst, in both the memorialization of the library and location of his papers on campus. He referred to a new book of DuBois' quotations by an Amherst faculty member.

Student Trustee Report, UMass Amherst:

Trustee Healy welcomed the Board to the flagship campus. She spoke about the campus' leadership in innovation including the campus' cutting-edge research in areas of broad value; growing and strengthening relationships with the surrounding community; improvements in the way new students are being introduced to University life; and the student body's redefined role in advocacy for their campus and the University. Trustee Healy remarked on these remarkable achievements and the responsibility to continue to improve, reevaluate and change. She noted the continued need to support students for all four years; the need for reprioritizing space on campus; the need to be thoughtful about the growth of the student body; and the need to convince the public that public higher education is a worthy investment. Trustee Healy concluded her report by commending the faculty and students for their engagement and dedication.

Reports of Standing Committees:

Committee on Academic and Student Affairs:

Trustee Lee stated that she wanted the students to know that the Board was here out of a commitment to excellence and accessibility and that their presence at today's meeting amplifies why they were doing the work.

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Trustee Lee reported that at its November 28th meeting, the Committee on Academic and Student Affairs considered two action items, one discussion item, four information items and three items in Executive Session which were Appointments with Tenure, UMass Amherst and Boston, Awards of Tenure, UMass Worcester and Honorary Degrees, UMass Amherst, Boston, Dartmouth, Lowell and Worcester. The two action items and the three items in Executive Session were placed on the Consent Agenda for the Board action.

Committee on Administration and Finance:

Trustee Woolridge reported that at its December 5th meeting, the committee on Administration and Finance reviewed the Fiscal Year 2012 Financial Statements, received an update from Building Authority Executive Director Craven, and received progress reports on the Efficiency and Effectiveness Task Force and the FY13 and FY14 budgets.

Trustee Woolridge reviewed the four action items that the Committee considered and stated that the Committee voted affirmatively to approve the four action items, noting that the first two action items were placed on the Consent Agenda.

Chair Thomas asked for questions or discussion on the **Fiscal Year 2014 State Budget Request Authorization**. Trustee Mullan stated that he supported the budget at the Committee meeting and supports it today, but a roadmap was needed in light of the 9C cuts and he wanted a greater understanding of how revenues were trending for FY14. Secretary Reville stated that the FY13 budget was 6 percent larger than the FY12 budget, but revenues did not meet their assumptions. Governor Patrick has very serious thinking to do about revenues and savings, but education is a high priority. It was moved, seconded and

VOTED: To authorize the President to prepare and submit the University's Fiscal Year 2014 State Budget request and to submit the State funding formula pursuant to Section 7 of Chapter 75 and Section 15B of Chapter 15A of the General Laws. (Doc. T12-097)

Secretary Reville abstained.

The next item was the **Naming of the Emerging Technologies and Innovation Center, UMass Lowell**. Chair Thomas asked for questions or discussion. Trustee King-Shaw asked if there was a centrally organized schedule that indicates what qualifies for the naming of a building. President Caret indicated that there were system-wide guidelines and the campuses adhere to those guidelines. Trustee Mullan asked that those guidelines be provided. Chancellor Meehan noted that at Lowell most buildings were named after Chancellors, politicians, etc. and that it was a challenge to change the culture. Trustee Carpman thanked Chancellor Meehan for the tour of the new building and noted the new energy on campus. It was moved, seconded and

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VOTED: To name the Mark and Elisia Saab Emerging Technologies and Innovation Center at the University of Massachusetts Lowell and to accept with sincere gratitude the generous gift of Mark and Elisia Saab. (Doc. T12-099)

Audit Committee:

Trustee Woolridge reported that the Audit Committee met on December 5th and considered three action items. Chair Thomas asked for questions or discussion on the **Approval of the Internal Audit Charter**. It was moved, seconded and

VOTED: To approve the revisions to the Internal Audit Charter as contained in Doc. T06-061 as amended.

The next item was the **University's Annual Financial Statements**. Chair Thomas asked for questions or discussion. It was moved, seconded and

VOTED: To approve the University's Annual Financial Statements as contained in Doc. T12-087.

The next item was the **Approval of Audit Reports**. Chair Thomas asked for questions or discussion. It was moved, seconded and

VOTED: To approve the following:

- Report on Federal Financial Assistance Programs in Accordance with OMB Circular A-133 (Doc. T12-088)
- Internal Control Letter (Doc. T12-089)

Committee on Advancement:

Trustee Peters reported that the Advancement Committee met on November 28th and President Caret announced that he had set a cash fundraising goal of \$93 million. President Caret also reported on the hiring of Charles Pagnam for the position of Vice President for Advancement/Executive Vice President of the UMass Foundation. Vice President Pagnam will continue in his role at the Medical School and will devote two-thirds of his time to Worcester and one-third to his new assignment. There was a discussion about the challenges to fundraising, including resources available to campus advancement; creating a culture of philanthropy; and educating alumni and friends on the funding model of the University.

Trustee Peters remarked that he was grateful to Trustee DiBaggio for his years of service to the Committee and the Board. He added that with regard to the charge to the Board on 100 percent participation, progress was being made. He also added that two significant fundraising events recently took place at Lowell and Worcester.

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Committee on Science, Technology and Research:

Trustee Osterhaus-Houle reported that the Committee on Science, Technology and Research met on November 28th and stated that President Caret reported on his telling and selling efforts on behalf of the University and on progress being made by the University on science, technology and research. There was also an update on the R&D Matching Grant Fund and a panel discussion on the Massachusetts Green High Performance Computing Center.

Chair Thomas asked that the Board reflect on Trustee DiBiaggio's retirement and called him a great asset and resource to the Board, particularly in his role on the Committee on Academic and Student Affairs. Chair Thomas also stated that he looked forward to finding a way to salute his contributions.

Trustee Xifaras praised the impressive science, technology and research work and the lively discussion that was done at the Committee level and asked the President to speak to the matching grants funds legislation. President Caret reviewed how the matching grants will work. Trustee Johnston thanked Senior Vice President Chmura and Vice Chancellor Malone for their efforts.

Committee of the Whole:

President Caret reported that the Committee of the Whole met on December 11, 2012 and the Committee was designed to have more in-depth discussions. He reported that the September Committee of the Whole discussed the new UMass accountability tool.

Consent Agenda:

Chair Thomas reported that at its meeting on November 28, 2012, the Committee on Academic and Student Affairs voted to place Items 1-4 on the Consent Agenda. He also reported that at its meeting on December 5, 2012, the Committee on Administration and Finance voted to place Items 5-6 on the Consent Agenda.

Chair Thomas asked for a motion to approve Items 1-6 by a single vote on the Consent Agenda. It was moved, seconded and

VOTED: To approve the following votes listed as items 1-6 on the Consent Agenda:

Committee on Academic and Student Affairs (Items 1-4 Were Approved by the Committee on November 28, 2012)

1. B.S. in Sustainable Food and Farming AND B.S. in Turfgrass Science and Management AND B.S. in Sustainable Horticulture, UMass Amherst (Doc. T12-074)

To approve the B.S. in Sustainable Food and Farming, and the B.S. in Turfgrass Science and Management, and the B.S. in Sustainable Horticulture at the University of Massachusetts Amherst, as contained in Doc. T12-074.

2. **Appointment of the First Holder of the Melvin S. and Sandra L. Cutler Chair in Biomedical Research, UMass Worcester (Doc. T12-051)**

To concur with the President in the appointment of Professor Catarina Isabel Kiefe as the first holder of the Melvin S. and Sandra L. Cutler Chair in Biomedical Research at the University of Massachusetts Worcester, contingent on the establishment at the December 5, 2012 Committee on Administration and Finance of the Melvin S. and Sandra L. Cutler Chair in Biomedical Research at the University of Massachusetts Worcester.

3. **Appointments with Tenure, UMass Amherst, Boston (Doc. T12-082)**

To concur with the President in the appointment of Kumble R. Subbaswamy as professor with tenure in the Department of Physics at the University of Massachusetts Amherst.

And further,

To concur with the President in the appointment of Bruce D. Weinberg as professor with tenure in the Department of Marketing at the University of Massachusetts Amherst.

And further,

To concur with the President in the appointment of Mark R. Warren as associate professor with tenure in the Department of Public Policy and Public Affairs at the University of Massachusetts Boston.

4. **Awards of Tenure, UMass Worcester (Doc. T12-083)**

To concur with the President in the awards of tenure to the following individuals in the following Departments:

WORCESTER **Arlene Ash**, Quantitative Health Sciences
 Ingolf Bach, Molecular Medicine

Committee on Administration and Finance (Items 5-6 were Approved by the Committee on December 5, 2012)

5. **Establishment of Endowed Professorship, UMass Worcester (Doc. T12-098)**

In accordance with sections 1A(e) and 11 of Chapter 75 of the General Laws to establish an endowed professorship to be known as the Melvin S. and Sandra L. Cutler Chair in Biomedical Research at UMass Worcester.

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6. Approval of Capital Project (Doc. T12-100)

Pursuant to Trustee Policy Doc. T93-122, to approve the following Medical School Capital Project to be initiated in the FY13-14 time period:

<u>Project Name</u>	<u>Total Project Cost Estimate November, 2012</u>
Vaccine Manufacturing	\$6,400,000
Operations Relocation	

Other Business:

Trustee Karam announced that after 10 years of serving on the Board and four years as Chair and Interim Chair, he has tendered his resignation effective this month. He thanked the Board and the Chair and noted that of the three different UMass Boards that he has served with, this Board was one of the most talented and collegial. Trustee Karam thanked the President and other University staff. He also thanked the Chancellors, students and the faculty.

Trustee Karam stated that the Board must always keep in mind that their fiduciary responsibility comes first; that they shouldn't be patient and should act as agents of change; and that they hold the treasure and should continue to advance the mission. Trustee Karam also thanked to Governor Patrick and noted how the University had changed his life. He stressed access, affordability and quality.

President Caret, Chair Thomas, Trustees Gomez, Reville, and King-Shaw, and Chancellor Grossman all spoke about Trustee Karam's service to the University. Trustee King-Shaw asked that at the next meeting an official Board resolution be made about Trustee Karam's contributions. Trustee Gomez also commended Governor Patrick and Secretary Reville on their strong stand on immigrant rights and access to higher education and she hoped that the University would take the lead on this topic.

Chair Thomas then asked that the Board vote to enter into **Executive Session** pursuant to Massachusetts General Law, Chapter 30A, section 21(a)(7) to comply with the provisions of General Laws Chapter 214, Section 1B, Chapter 66A, and Chapter 4, Section 7(26)(c) and (f) for **Discussion of Confidential Investigations**; and Pursuant to General Laws Chapter 30A, Section 21(a)(7) to comply with the provisions of Chapter 4, Section 7(26)(d) to **Consider Legal Advice with Regard to the Development of a Policy Position**.

It was moved, seconded and the Secretary called roll with each Trustee asked to vote yes or no to enter into Executive Session for the purposes listed. Chair Thomas voted for the motion as did Vice-Chair King-Shaw and Trustees Campbell, Carpman, Collins, Furman, Gomez, Healy, Johnston, Karam, Lee, Mullan, Osterhaus-Houle, Peters, Quattrochi, Reville, Woolridge and Xifaras.

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The time was 11:13 a.m.

Kate Wilkinson
Staff Assistant