

**UNIVERSITY OF MASSACHUSETTS
AMHERST•BOSTON•DARTMOUTH•LOWELL•WORCESTER•UMASSONLINE**

**MINUTES OF THE COMBINED MEETING OF THE
COMMITTEES ON ACADEMIC AND STUDENT AFFAIRS AND
ADMINISTRATION AND FINANCE**

**Wednesday, February 12, 2014; 8:00 a.m.
Amherst Room
225 Franklin Street – 33rd Floor
Boston, Massachusetts**

Committee Members Present: Chair Lee (CASA); Chair Woolridge (A&F); Vice Chair Collins (A&F); Trustees Campbell, Carpman, Furman, Geoffroy, Kingston, Mullan, Murphy, O'Brien and Peters; Ms. Lee (representing Trustee Malone); Chairman Thomas

Phone Participation: Trustee Buonomo

Committee Members Absent: Trustees Fubini, Gomez, Johnston, King-Shaw, Lowe, Malone, Osterhaus-Houle and Xifaras

University Administration: President Caret; General Counsel Heatwole; Senior Vice Presidents Williams and Wilda; Chancellors Subbaswamy, Motley, Grossman, Meehan and Collins

Faculty Representatives: Ms. Billings, UMass Amherst; Professor Buzawa, UMass Lowell

Documents Used:

- M.S. in Transnational, Cultural, and Community Studies AND Ph.D. in Transnational, Cultural, and Community Studies, UMass Boston, Doc. T14-001
- Honors College, UMass Lowell, Doc. T14-002
- Appointments of Named Professorships, UMass Amherst, Doc. T14-005
- Appointment of the First Holder of the Worcester Foundation for Biomedical Research (WFBR) Chair, UMass Worcester, Doc. T14-003
- Approval to Rescind Ombudsman Policy, UMass Amherst, Doc. T70-025
- Naming of the Charles J. Hoff Alumni Scholarship Center, UMass Lowell, Doc. T14-015
- Establishment of the Worcester Foundation for Biomedical Research (WFBR) Chair, UMass Worcester, Doc. T14-016
- Reversion of Care and Control of Property at Trapelo Road, Waltham from UMass Worcester to Department of Developmental Services, Commonwealth of Massachusetts, Doc. T14-017
- Approval of Capital Projects, Doc. T13-077, as amended

Chair Lee convened the meeting at 8:11 a.m. and stated that Trustee Buonomo will be participating by telephone pursuant to 940 CMR 29.10, since he is traveling and geographically distant from Boston making it unreasonably difficult for him to attend the meeting in person.

**Academic & Student Affairs AND Administration & Finance
February 12, 2014**

Chair Lee welcomed everyone to the combined meeting of the Committees on Academic and Student Affairs and Administration and Finance and stated that due to the full Board agenda and the need to keep the meeting moving forward in a constructive manner, any members of the Committee or others who wish to speak do so through the Chair, noting that all speakers will be limited to three minutes. She also noted that Board of Trustees meeting guidelines restrict comments to specific agenda items and not straying into other areas that are not part of the agenda.

Chair Lee stated that in the interest of time, the consideration of the minutes would be taken up at the next meeting.

Reports:

President's Report

President Caret commented on the University's role in creating an educated citizenry, contributing to the social well-being of the communities in which it resides and being good stewards of resources. He then highlighted significant campus achievements by students and faculty. Highlights included: UMass Amherst's campus-wide U Matter program, which has a special focus on active bystander interventions to prevent sexual assault and other high-risk behaviors; Boston's Professor Hannah Sevian's freshman seminar in chemistry, which includes teaching to 8th graders in Dorchester; Dartmouth's ATMC and its emerging companies; Lowell's Wired for Work initiative; and Worcester's Dr. Luzuriaga and Dr. Lawrence's cutting-edge research.

President Caret provided an update on the state budget, including the status of the second year of the 50/50 funding model and tuition retention language.

President Caret then announced that Katherine Craven, Executive Director of the Building Authority, is leaving her position to serve as the Chief Administrative Officer at Babson College. He announced that Patricia Filippone, the Chief Financial Officer will serve as the interim director.

Action Items:

Chair Lee requested the approval of the **M.S. in Transnational, Cultural and Community Studies AND Ph.D. in Transnational, Cultural and Community Studies, UMass Boston.** She indicated that the Committee had a chance to review the materials that were provided and asked for questions or discussion. It was moved and seconded.

The Secretary called the roll with each Trustee asked to vote yes or no: Chairs Lee and Woolridge voted for the motion as did Vice Chair Collins; Trustees Buonomo, Campbell, Furman, Mullan, Murphy, O'Brien, Peters; Ms. Lee and Chairman Thomas.

To recommend that the Board take the following action:

**Academic & Student Affairs AND Administration & Finance
February 12, 2014**

To approve the M.S. in Transnational, Cultural and Community Studies AND Ph.D. in Transnational, Cultural and Community Studies at the University of Massachusetts Boston as contained in Doc. T14-001.

Chair Lee requested the approval of the **Honors College, UMass Lowell**. She indicated that the Committee had a chance to review the materials that were provided and asked for questions or discussion. It was moved and seconded.

The Secretary called the roll with each Trustee asked to vote yes or no: Chairs Lee and Woolridge voted for the motion as did Vice Chair Collins; Trustees Buonomo, Campbell, Carpman, Furman, Mullan, Murphy, O'Brien, Peters; Ms. Lee and Chairman Thomas.

To recommend that the Board take the following action:

To approve the creation of the Honors College at the University of Massachusetts Lowell. (Doc. T14-002)

Chair Lee requested the approval of the **Appointments to Named Professorships, UMass Amherst**. She indicated that the Committee had a chance to review the materials that were provided and asked for questions or discussion. It was moved and seconded.

The Secretary called the roll with each Trustee asked to vote yes or no: Chairs Lee and Woolridge voted for the motion as did Vice Chair Collins; Trustees Buonomo, Campbell, Carpman, Furman, Mullan, Murphy, O'Brien, Peters; Ms. Lee and Chairman Thomas.

To recommend that the Board take the following action:

To concur with the the President in the appointment of Paul Dauenhauer to the Armstrong Professional Development Professorship in Engineering at the University of Massachusetts Amherst.

And Further, To concur with the President in the appointment of Vincent M. Rotello as Distinguished Professor at the University of Massachusetts Amherst. (Doc. T14-005)

Chair Lee requested the approval of the **Appointment of the First Holder of the Worcester Foundation for Biomedical Research (WFBR) Chair, University of Massachusetts Boston**. She indicated that the Committee had a chance to review the materials that were provided and asked for questions or discussion. It was moved and seconded.

The Secretary called the roll with each Trustee asked to vote yes or no: Chairs Lee and Woolridge voted for the motion as did Vice Chair Collins; Trustees Buonomo, Campbell, Carpman, Furman, Mullan, Murphy, O'Brien, Peters; Ms. Lee and Chairman Thomas.

To recommend that the Board take the following action:

**Academic & Student Affairs AND Administration & Finance
February 12, 2014**

To concur with the President in the appointment of Professor Vivian Budnick as the first holder of the Worcester Foundation for Biomedical Research (WFBR) Chair at the University of Massachusetts Worcester, contingent on the establishment at the February 12, 2014 Committee on Administration and Finance of the Worcester Foundation for Biomedical Research Chair at the University of Massachusetts Worcester. (Doc. T14-003)

Chair Lee then asked for a motion to place the above items on a consent agenda for the Board meeting and explained that the Board can approve all these items by a single vote, and that no discussion will be permitted. It was moved and seconded to place the above matters on a Consent Agenda.

The Secretary called the roll with each Trustee asked to vote yes or no: Chairs Lee and Woolridge voted for the motion as did Vice Chair Collins; Trustees Buonomo, Campbell, Carpman, Furman, Mullan, Murphy, O'Brien, Peters; Ms. Lee and Chairman Thomas.

Chair Woolridge then provided an update to the Committee on Administration and Finance on the state's revenue collections and noted that the A&F team was working on revising policies as they relate to capital projects.

Chair Woolridge requested the approval to **Rescind the Ombudsman Policy, UMass Amherst**. He indicated that the Committee had a chance to review the materials that were provided and asked for questions or discussion. Trustee Mullan stated that it was important to note that this item was not to diminish the role of the Ombuds Office, but rather to reflect modernization. Chancellor Subbaswamy added that the office was being strengthened and would now have the ability to expand its operation. It was moved and seconded.

The Secretary called the roll with each Trustee asked to vote yes or no: Chairs Lee and Woolridge voted for the motion as did Vice Chair Collins; Trustees Buonomo, Campbell, Carpman, Furman, Mullan, Murphy, O'Brien, Peters; Ms. Lee and Chairman Thomas.

To recommend that the Board take the following action:

To rescind Trustee Policy T70-025, "The University of Massachusetts Ombudsman Policy".

Chair Woolridge requested the approval of the **Naming of the Charles J. Hoff Alumni Scholarship Center, UMass Lowell**. He indicated that the Committee had a chance to review the materials that were provided and asked for questions or discussion. It was moved and seconded.

The Secretary called the roll with each Trustee asked to vote yes or no: Chairs Lee and Woolridge voted for the motion as did Vice Chair Collins; Trustees Buonomo, Campbell, Carpman, Furman, Mullan, Murphy, O'Brien, Peters; Ms. Lee and Chairman Thomas.

**Academic & Student Affairs AND Administration & Finance
February 12, 2014**

To recommend that the Board take the following action:

To approve the Naming of the Charles J. Hoff Alumni Scholarship Center at the University of Massachusetts Lowell. (Doc. T14-015)

Chair Woolridge requested the approval of the **Establishment of the Worcester Foundation for Biomedical Research (WFBR) Chair, UMass Worcester**. He indicated that the Committee had a chance to review the materials that were provided and asked for questions or discussion. It was moved and seconded.

The Secretary called the roll with each Trustee asked to vote yes or no: Chairs Lee and Woolridge voted for the motion as did Vice Chair Collins; Trustees Buonomo, Campbell, Carpman, Furman, Mullan, Murphy, O'Brien, Peters; Ms. Lee and Chairman Thomas.

To recommend that the Board take the following action:

In accordance with sections 1A(e) and 11 of Chapter 75 of the General Laws to establish The Worcester Foundation Biomedical Research (WFBR) Chair at the University of Massachusetts Worcester. (Doc. T14-016)

Chair Woolridge requested the approval of the **Reversion of Care and Control of Property at Trapelo Road, Waltham from UMass Worcester to Department of Development Services, Commonwealth of Massachusetts**. He indicated that the Committee had a chance to review the materials that were provided and asked for questions or discussion. It was moved and seconded.

The Secretary called the roll with each Trustee asked to vote yes or no: Chairs Lee and Woolridge voted for the motion as did Vice Chair Collins; Trustees Buonomo, Campbell, Carpman, Furman, Mullan, Murphy, O'Brien, Peters; Ms. Lee and Chairman Thomas.

To recommend that the Board take the following action:

To approve the reversion of the care and control of the former Shriver Center property, Trapelo Road, Waltham, to the Commonwealth's Department of Developmental Services. (Doc. T14-017)

Chair Woolridge requested the approval of the **Capital Projects**. He indicated that the Committee had a chance to review the materials that were provided and asked for questions or discussion. It was moved and seconded.

Budget Director Pasquini provided the **Quarterly Capital Projects Update**, including the new project for Board approval and an upgrade to the central chilled water plants at UMass Amherst. She also described the new project phase categories, which separated projects into preliminary and more finalized cost categories, and were subject to a 10 percent cost variance threshold. There was then discussion about voting on the projects contained in the two lists.

**Academic & Student Affairs AND Administration & Finance
February 12, 2014**

Trustee Buonomo asked for information on the cost variance between estimated and actual costs moving forward. Chairman Thomas asked if the Governor’s budget included an extension of the capital bond bill. Chair Woolridge noted the \$600 million funding gap for approved projects.

Trustee Mullan commended the improvement of the process. He also commented on several areas: the importance of advocacy for dedicated revenue to higher education capital projects; with regard to the Amherst chiller project, the need for an accompanying statement as to why the University was undertaking the project and not the state; the need for two distinct lists for capital projects, a preliminary planning list and an approved projects list; and the need for more progress on a fiscally constrained capital plan.

Chair Woolridge confirmed that there were two lists and added that Trustee Mullan’s additional concerns should be part of the discussion on the credit profile, credit rating, etc. Trustee Buonomo asked if there were any projects that didn’t make it to the second list. Trustee Carpman asked if the 10 percent variance threshold was an industry standard and if deferred maintenance should be approximately 10 percent of the total spend. President Caret described the reserve set aside for maintenance on new construction and its rationale and the process of determining the annual deferred maintenance projects. President Caret then commented that approaching the debt capacity was a new phenomenon. Trustee Furman asked if there were enough resources for costs control on projects. Chancellor Subbaswamy added that an unintended consequence of this process may be some waste if some phase 1 projects don’t make it to the final phase.

The Secretary called the roll with each Trustee asked to vote yes or no: Chairs Lee and Woolridge voted for the motion as did Vice Chair Collins; Trustees Buonomo, Campbell, Carpman, Furman, Mullan, Murphy, O’Brien, Peters; Ms. Lee and Chairman Thomas.

To recommend that the Board take the following action:

Pursuant to Trustee Policy T93-122, to approve the “Conceptual Preliminary Campus Estimate” for the following capital project at Amherst:

| Project Name | Conceptual Preliminary Campus Estimate <u>February 2014</u> |
|---|--|
| Expand and Upgrade the Central Chilled Water Plants (Doc. T13-077, as amended) | \$30,000,000 |

Chair Woolridge then asked for a motion to place the above items on a consent agenda for the Board meeting and explained that the Board can approve all these items by a single vote, and that no discussion will be permitted. It was moved and seconded to place the above matters on a Consent Agenda.

Academic & Student Affairs AND Administration & Finance
February 12, 2014

The Secretary called the roll with each Trustee asked to vote yes or no: Chairs Lee and Woolridge voted for the motion as did Vice Chair Collins; Trustees Buonomo, Campbell, Carpman, Furman, Murphy, O'Brien, Peters; Ms. Lee and Chairman Thomas.

Information Items:

Executive Director Craven informed the Board of recent activities of the UMass Building Authority (UMBA). She thanked the Board and stated that she was honored to lead UMBA and reported on the progress that was made during her tenure. Executive Director Craven then described the recent successful bond deal. Chairman Thomas congratulated Ms. Filippone on her interim role, and congratulated Ms. Craven and thanked her for her service.

Chair Woolridge stated that the two additional information items: the **Financial Aid Report** and the **Financial Indicator Peer Comparison** were available in the materials.

Chair Lee then asked the Committee to vote to enter into **Executive Session** pursuant to Massachusetts General Law, Chapter 75, Section 4, for the purpose of considering **Awards of Tenure, UMass Worcester** and **Transfer of Tenure, UMass Lowell**.

It was moved and seconded and the Secretary called the roll with each Trustee asked to vote yes or no to enter into Executive Session for those purposes listed: Chairs Lee and Woolridge voted for the motion as did Vice Chair Collins; Trustees Buonomo, Campbell, Carpman, Furman, Murphy, O'Brien, Peters; Ms. Lee and Chairman Thomas.

The time was 9:31 a.m.

Kate Wilkinson
Staff Assistant