

MASSACHUSETTS WORKFORCE DEVELOPMENT SYSTEM

Mass Workforce Issuance

100 DCS 20.104

Policy Information

To: Chief Elected Officials
Workforce Development Board Chairs
Workforce Development Board Directors
Title I Administrators
Career Center Directors
Title I Fiscal Officers
DCS Operations Managers

cc: WIOA State Partners

From: Alice Sweeney, Director
Department of Career Services

Date: November 18, 2016

Subject: **FY 16 TAA Case Management Funds: Local Allocations – Third Allocation**

Purpose: To notify Local Workforce Development Boards, One-Stop Career Center Operators and other local workforce investment partners of the 3rd allocation of Trade Programs “Employment and Case Management Funds” provided for local use under the Trade Adjustment Assistance Act. These allocations supplement allocations for WIOA and Wagner-Peyser Employment Service (ES) activities and account for TAA participant activities for workers covered under the Trade Adjustment Assistance (TAA) Programs.

The total cumulative allocation is \$1,037,851.90. Local funding allocations are found in the **Local Area TAA Case Management Allocations Chart** (Attachment A).

Background: These FY 16 Trade Programs “Employment and Case Management” funds have been designated by the Commonwealth for utilization through September 30, 2018 for those individuals being served under the TGAAA of 2009, TAAEA of 2011 and TAARA 2015.

The allocation methodology continues to be comprised of the following three components:

A. Petitions Filed and/or Certified

- Weighted 15%
- The number of Trade Adjustment Assistance Petitions certified with USDOL, by area as a percent of share against all MA petitions filed

- Time period: 01/01/2016 to 07/31/2016
- Source: MOSES

B. New Trade Act Participants

- Weighted 35%
- The number of customers approved for TAA benefits per area
- Time period: 07/01/15 to 06/30/2016 (final 6/30/16 data)
- Source: MOSES

C. Active Participants

- Weighted 50%
- Customers served during the last 90 days
- Time Period: 07/01/15 to 06/30/2016 (final 6/30/16 data)
- Source: MOSES

Future increases in allocation will be reviewed and considered based on State funding received.

Policy: In addition to covering staffing costs for career counselors, the “employment and case management services” funding may also be used for: assessment tests; skills transferability analysis; peer counselors; development and provision of labor market information; maintenance and enhancement of electronic case management systems to allow for improved case management services; information on available training, including provider performance and cost information; and, any other staff costs related to career services. This list is not intended to be all inclusive.

Local areas are expected to expend these funds quickly and effectively and they are to be used in addition, and not as an offset to any funds the local workforce system receives under WIA, WIOA or any other program.

The funds have been made available through a modification of each area’s annual contract with the Executive Office of Labor and Workforce Development (EOLWD). Required expenditure reporting will consist of a **quarterly** Fiscal Status Report (FSR) (Attachment B) to be submitted to Gogo Joe Nwabinwe, at Gogo.Joe.Nwabinwe@MassMail.State.MA.US by the 15th of the month following the end of the quarter. **Please submit an FSR even if your expenditures are \$0.**

Action Required: Please assure that all appropriate staff persons are knowledgeable of the content of this Policy Issuance and that they carry out related TAA activity in a compliant manner.

Effective: Immediately.

Inquiries: Please direct all inquiries to Beth Goguen at Elizabeth.M.Goguen@MassMail.State.MA.US. Also, indicate Issuance number and description.

- References:**
- Trade Adjustment Assistance Reauthorization Act of 2015
 - Training and Employment Guidance Letter ([TEGL No. 5-15](#)), *Operating Instructions for Implementing the Amendments to the Trade Act of 1974 Enacted by the Trade Adjustment Assistance Reauthorization Act of 2015 (TAA 2015)*

- Attachments:**
- A – TAA Case Management Allocations
 - B – TAA Case Management and Reemployment Funds Fiscal Status Report

TAA Case Management and Reemployment Funding for the Field FY16

TOTAL \$1,037,851.90		15% based on Petitions Certified \$ 155,677.79			35% based on New Participants \$ 363,248.17			50 % based on Active Participants \$ 518,925.95			
J002		Petitions Certified 1/1/16 through 7/31/16			New Participants FY 16 through 6/30/16			Active Participants as of 6/30/16			
WIA Area		%	\$\$		%	\$\$		%	\$\$	CUMULATIVE	TOTALS P/AREA
Bristol	2	8.70%	\$ 13,537.20	21	8.33%	\$ 30,270.68	27	8.49%	\$ 44,059.75	\$	87,867.63
Lower Merrimack	3	13.04%	\$ 20,305.80	20	7.94%	\$ 28,829.22	28	8.81%	\$ 45,691.59	\$	94,826.61
Hampden	0	0.00%	\$ -	12	4.76%	\$ 17,297.53	33	10.38%	\$ 53,850.81	\$	71,148.34
Central MA	1	4.35%	\$ 6,768.60	12	4.76%	\$ 17,297.53	10	3.14%	\$ 16,318.43	\$	40,384.56
Boston	4	17.39%	\$ 27,074.40	13	5.16%	\$ 18,738.99	27	8.49%	\$ 44,059.75	\$	89,873.14
New Bedford	0	0.00%	\$ -	1	0.40%	\$ 1,441.46	1	0.31%	\$ 1,631.84	\$	3,073.30
Lowell	1	4.35%	\$ 6,768.60	27	10.71%	\$ 38,919.45	17	5.35%	\$ 27,741.32	\$	73,429.37
No. Central	1	4.35%	\$ 6,768.60	16	6.35%	\$ 23,063.38	14	4.40%	\$ 22,845.80	\$	52,677.77
Berkshire	0	0.00%	\$ -	0	0.00%	\$ -	0	0.00%	\$ -	\$	-
Metro North	1	4.35%	\$ 6,768.60	30	11.90%	\$ 43,243.83	40	12.58%	\$ 65,273.70	\$	115,286.13
Brockton	1	4.35%	\$ 6,768.60	46	18.25%	\$ 66,307.20	54	16.98%	\$ 88,119.50	\$	161,195.31
Metro SouthWest	4	17.39%	\$ 27,074.40	9	3.57%	\$ 12,973.15	9	2.83%	\$ 14,686.58	\$	54,734.13
Franklin Hampshire	1	4.35%	\$ 6,768.60	5	1.98%	\$ 7,207.30	6	1.89%	\$ 9,791.06	\$	23,766.96
South Shore	2	8.70%	\$ 13,537.20	16	6.35%	\$ 23,063.38	27	8.49%	\$ 44,059.75	\$	80,660.32
North Shore	1	4.35%	\$ 6,768.60	24	9.52%	\$ 34,595.06	25	7.86%	\$ 40,796.07	\$	82,159.73
Cape & islands	1	4.35%	\$ 6,768.60	0	0.00%	\$ -	0	0.00%	\$ -	\$	6,768.60
Total	23	100%	\$ 155,677.79	252	100%	\$ 363,248.17	318	100%	\$ 518,925.95	\$	1,037,851.90

3rd Allocation

Updated: 11/14/2016

TAA Case Management and Reemployment Funding for the Field FY16

TOTAL		15% based on Petitions Certified			35% based on New Participants			50 % based on Active Participants			
\$659,737.10		\$ 98,960.57			\$ 230,907.99			\$ 329,868.55			
J002		Petitions Certified 1/1/16 through 4/30/16			New Participants FY 16 through 4/30/16			Active Participants as of 4/30/16			
Local Area		%	\$\$		%	\$\$		%	\$\$	TOTALS P/AREA	
Bristol	2	13.33%	\$ 13,194.74	12	6.03%	\$ 13,924.10	20	4.61%	\$ 15,201.32	\$ 42,320.16	
Lower Merrimack	2	13.33%	\$ 13,194.74	12	6.03%	\$ 13,924.10	62	14.29%	\$ 47,124.08	\$ 74,242.92	
Hampden	0	0.00%	\$ -	12	6.03%	\$ 13,924.10	64	14.75%	\$ 48,644.21	\$ 62,568.31	
Central MA	1	6.67%	\$ 6,597.37	11	5.53%	\$ 12,763.76	13	3.00%	\$ 9,880.86	\$ 29,241.98	
Boston	3	20.00%	\$ 19,792.11	5	2.51%	\$ 5,801.71	36	8.29%	\$ 27,362.37	\$ 52,956.19	
New Bedford	0	0.00%	\$ -	1	0.50%	\$ 1,160.34	2	0.46%	\$ 1,520.13	\$ 2,680.47	
Lowell	1	6.67%	\$ 6,597.37	22	11.06%	\$ 25,527.52	25	5.76%	\$ 19,001.64	\$ 51,126.53	
No. Central	0	0.00%	\$ -	13	6.53%	\$ 15,084.44	16	3.69%	\$ 12,161.05	\$ 27,245.49	
Berkshire	0	0.00%	\$ -	0	0.00%	\$ -	0	0.00%	\$ -	\$ -	
Metro North	0	0.00%	\$ -	21	10.55%	\$ 24,367.17	45	10.37%	\$ 34,202.96	\$ 58,570.13	
Brockton	0	0.00%	\$ -	40	20.10%	\$ 46,413.67	58	13.36%	\$ 44,083.82	\$ 90,497.48	
Metro SouthWest	4	26.67%	\$ 26,389.48	7	3.52%	\$ 8,122.39	17	3.92%	\$ 12,921.12	\$ 47,432.99	
Frankln Hampshire	1	6.67%	\$ 6,597.37	5	2.51%	\$ 5,801.71	12	2.76%	\$ 9,120.79	\$ 21,519.87	
South Shore	0	0.00%	\$ -	14	7.04%	\$ 16,244.78	35	8.06%	\$ 26,602.30	\$ 42,847.09	
North Shore	1	6.67%	\$ 6,597.37	24	12.06%	\$ 27,848.20	29	6.68%	\$ 22,041.91	\$ 56,487.48	
Cape & islands	0	0.00%	\$ -	0	0.00%	\$ -	0	0.00%	\$ -	\$ -	
Total	15	100%	\$ 98,960.57	199	100%	\$ 230,907.99	434	100%	\$ 329,868.55	\$ 659,737.10	

TAA Case Management and Reemployment Funds
 Fiscal Status Report

Only enter fiscal data in "Total Amount of TAA Allocation" and "Expenditures Amount" **ONLY**

Quarter Ending _____				
Date of Report: _____				
Area Name: _____				
Project Date				
Start Date: 10/1/2015				
End Date: _____				
Total Amount of TAA Allocation: _____				
	Allocation Amount	Expenditures Amount	% of Allocation Amount	Balance
Case Management Costs	\$ -		#DIV/0!	\$ -
Name of Fiscal Officer _____				

This FSR must be submitted to Gogo.Joe.Nwabinwe@MassMail.State.MA.US by the 15th of the month following the end of the Quarter